

SUPERVISED PERSON BROCHURE
FORM ADV PART 2B

Stephen Herrington, CFP[®], CFA[®], CRPC[®]

Nova Wealth Management, Inc.

Stephen Herrington will not conduct business in New York.

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This brochure supplement provides information about Stephen Herrington and supplements the Nova Wealth Management, Inc.'s brochure. You should have received a copy of that brochure. Please contact Stephen Herrington if you did not receive the brochure or if you have any questions about the contents of this supplement.

Additional information about Stephen Herrington (CRD 684704) is available on the SEC's website at www.adviserinfo.sec.gov.

MARCH 4, 2026

Brochure Supplement (Part 2B of Form ADV) Supervised Person Brochure

Stephen Herrington

- Year of birth: 1985
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Educational Background and Business Experience

Educational Background:

- FGCU, Master of Business Administration
- Jacksonville University, BA, Marketing

Business Experience:

- Nova Wealth Management, Inc.; Wealth Advisor/Investment Advisor Representative; 02/2025-Present
 - Nova Tax Advisors, LLC; Managing Partner; 10/2025-Present
 - Wells Fargo Advisors; Financial Advisor; 07/2017-02/2025
 - Wells Fargo Clearing Services; 09/2017-02/2025
 - Regions Bank; Assistant Manager; 08/2016-07/2017
 - Fifth Third Bank; 01/2015-08/2016
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Professional Certifications

Employees have earned certifications and credentials that are required to be explained in further detail.

Chartered Financial Analyst® (CFA®): designation, awarded by the CFA Institute. The CFA designation is a globally recognized professional credential in the investment management industry. To earn the CFA designation, candidates must:

- pass three levels of examinations covering areas such as investment analysis, portfolio management, and ethics, and must complete relevant work experience requirements.
- CFA charterholders are required to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct.”

Certified Financial Planner (CFP®): Certified Financial Planner is a designation granted by the CFP® Board. CFP® requirements:

- Bachelor’s degree from an accredited college or university.
- Completion of the financial planning education requirements set by the CFP® Board (www.cfp.net).
- Successful completion of the 10-hour CFP® Certification Exam.
- Three-year qualifying full-time work experience.
- Successfully pass the Candidate Fitness Standards and background check.
- When you achieve your CFP® designation, you must renew your certification every two years, pay an annual certification fee and complete 30 hours of continuing education every two years.

Chartered Retirement Planning Counselor (CRPC®): Chartered Retirement Planning Counselor is a designation granted by the College for Financial Planning. CRPC® requirements:

- Complete a course of study encompassing pre-and post-retirement needs, asset management, estate planning, and the entire retirement planning process using models and techniques from real client situations. The program is designed for approximately 120-150 hours of self-study. The program is self-paced and must be completed within one year of enrollment.
- Apply for authorization to use the designation.
- Continued use of the credential must be renewed every two years by completing 16 hours of continuing education, reaffirming compliance with the standards of professional conduct and terms and conditions, and complying with self-disclosure requirements.
- Pass an online, timed and proctored end-of-course examination that tests the ability to relate complex concepts and apply theoretical concepts to real-life situations

Disciplinary Information

None to report

Other Business Activities

Stephen Herrington, CFP®, CFA® CRPC® is also a licensed insurance agent. From time to time, he will offer clients advice or products from those activities. Clients should be aware that these services pay a commission and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. Nova Wealth Management, Inc. always acts in the best interest of the client, including the sale of commissionable products to advisory clients. Clients always have the right to decide whether or not to utilize the services of any representative of Nova Wealth Management, Inc. in such individual's outside capacities.

Stephen Herrington is associated with both Nova Wealth Management, Inc. and Nova Tax Advisors LLC, therefore, a material conflict of interest exists. Stephen has a financial incentive to recommend that advisory clients engage the affiliated tax firm. Clients are not required to use the affiliated firm, and advisory recommendations are made in accordance with the firm's fiduciary duty.

Stephen Herrington is not engaged in any investment-related business or occupation (other than this advisory firm).

Additional Compensation

Mr. Herrington receives additional compensation in his capacity as an insurance agent, but she does not receive any performance-based fees.

Supervision

Stephen Herrington is supervised by Amy C. Novakovich, CRPC® .CFP®, CRPC® Chief Compliance Officer. She reviews Stephen's work through client account reviews, quarterly personal transaction reports, and supervision of face-to-face client interactions and phone interactions.

Amy C. Novakovich, CFP® , CRPC® , CFA® can be reached by telephone at 239-444-1794 or by email at amy@novawealthmanagement.com.